

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION  
Under 35 USC 111(a) and Rule 53(b)

Hon. Commissioner of Patents  
Washington, D.C. 20231

JC912 U.S. PTO  


Sir: 07/10/01

Herewith is the PATENT APPLICATION of  
Inventor(s): UEMURA

WITH SIGNED DECLARATION

PATENT APPLICATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

  
00909

JC912 U.S. PTO  
07/10/01  


Title GROUP III NITRIDE COMPOUND SEMICONDUCTOR  
LIGHT-EMITTING DEVICE

Atty. Dkt.: P 281542 T36-135083M/KOH  
M# Client Ref

including:

Date: July 10, 2001

1. Specification: 21 pages (only spec. and claims) 2.  Specification in non-English language  
3. Declaration  Original  Facsimile/Copy  Abstract 1 page(s); 11 numbered claims  
4.  Drawings: 4 sheet(s)  informal;  formal of size:  A4  11"   
5.  See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not  
complete item 6 or 7). (Prior M# SN )  
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application  
by this reference:

 No. / filed \_\_\_\_\_  
No. / filed \_\_\_\_\_  
No. / filed \_\_\_\_\_  
No. PCT/US / filed \_\_\_\_\_, Which  
designated the US and that International Application  was  was not published under PCT Article 21(2) in English

7.  See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US  
application(s)

8.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
9.  Assignee (optional): \_\_\_\_\_

Address \_\_\_\_\_

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-208070	July 10, 2001	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 1 (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_

13. Small entity status  is not claimed;  is claimed (Pre-filing confirmation required)

13(a).  Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

13(b).  See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15.  This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16.  Attached: IDS LETTER CITING APPLICATION AND ATTACHING PTO-1449/DOCUMENTS

17.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

		Large/Small Entity		Fee Code
18. Basic Filing Fee		\$710/\$355	\$710	101/201
19. Total Effective Claims	11	minus 20 = *0	x \$18/\$9 = +0	103/203
20. Independent Claims	3	minus 3 = *0	x \$80/\$40 = +0	102/202
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)		*if answer is zero or less, enter *0*		
22. If "non-English" box 2 is X'd, add Rule 17(k) processing fee		+\$270/\$135	+0	104/204
23. If "assignment" box 8 is X'd, add recording fee		+\$40	+40	581
25. Attached is a Petition/Fee under Rule No.		+\$130	+0	122
26.		<b>TOTAL FILING FEE ENCLOSED =</b>	<b>\$750</b>	

Our Deposit Account No. 03-3975

Our Order No. 51273

C# 281542 M#



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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
Intellectual Property Group

By Atty: Caroline D. Dennison Reg. No. 34494  
Sig: Caroline D. Dennison Fax: (703) 905-2500  
Atty/Sec: CDD/tis Tel: (703) 905-2047  
NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments